

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Steve Mitchell Appln. No.: 10/684,668

Confirm. No.: 3396

Filed: October 14, 2003

Title: ARTIFICIAL VERTEBRAL DISK

REPLACEMENT IMPLANT WITH CROSSBAR SPACER AND METHOD

PATENT APPLICATION

Art Unit:

3738

Examiner: Bruce Edward Snow

Atty. Docket No.: KLYCD-05008US1

Customer No. 23910

## DECLARATION OF STEVE MITCHELL UNDER 37 C.F.R. § 1.131

- 1. My name is Steve Mitchell.
- 2. This declaration is submitted under 37 C.F.R. § 1.131.
- 3. I understand that I have a duty to disclose to the U.S. Patent and Trademark Office all information I know to be material to patentability as defined in 37 C.F.R. § 1.56.
- 4. I am an employee of St. Francis Medical Technologies, Inc., the assignee of the above U.S. patent application and specialize in development of spinal implant devices.
- 5. I am the sole inventor of the above U.S. patent application.
- 6. I recall designing a prototype embodiment of the invention described in the above U.S. patent application in March 2002.
- I recall attending an internal meeting in May 2002 where the prototype was shown to a research and development team.
- 8. I have viewed photographs labeled as Exhibits A-D.
- I verify that Exhibits A and C are lateral anterior to posterior views of an artificial spinal prosthesis.
- 10. I verify that Exhibits B and D are frontal anterior views of an artificial spinal prosthesis.
- 11. I verify that Exhibits A and C show the prototype implant described in the specification and shown in Figure 3 of the above application which incorporates the crossbar shown in Figures 2A-2D of the above application located between upper and lower plates inserted

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between two adjacent vertebrae of an artificial spinal prosthesis as represented in Figure 4 of the above application.

- 12. I verify that the design, construction and reduction to practice of this prototype took place in the United States of America.
- 13. The undersigned, being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application or any resulting registration, declares that the facts set forth in this declaration are true; all statements made of his own knowledge are true; and all statements made on information and belief are believed to be true.

<u>/- 5 - 06</u> Date

Signature



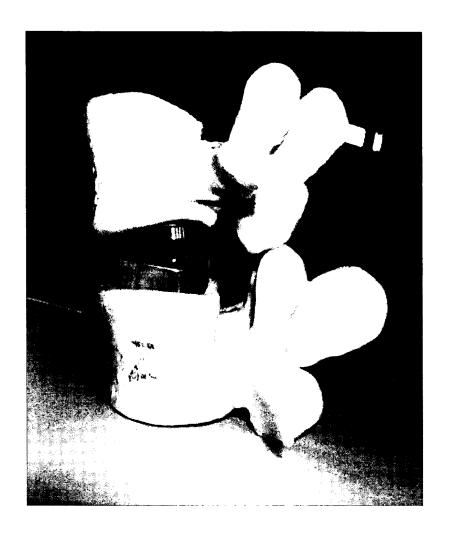


EXHIBIT A

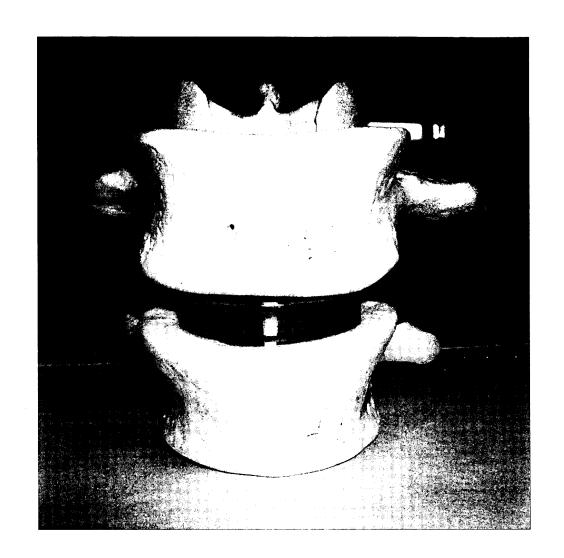


EXHIBIT B

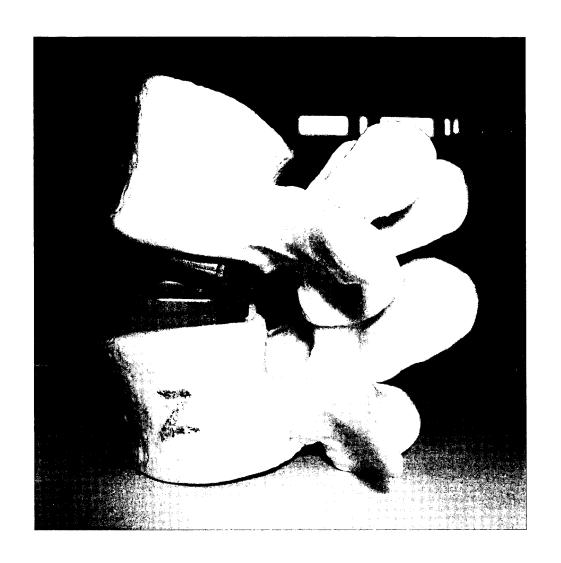


EXHIBIT C

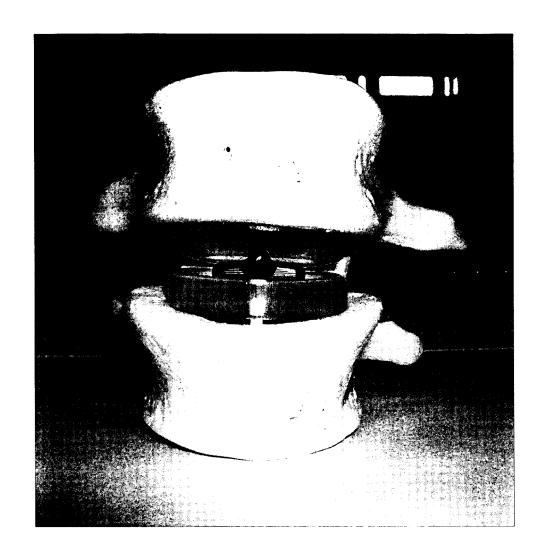


EXHIBIT D